

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jonnie R. WILLIAMS

Serial No.: 09/668,144

Filed: September 25, 2000

For: METHOD OF TREATING TOBACCO
TO REDUCE NITROSAMINE CONTENT AND
PRODUCTS PRODUCED THEREBY

*Hand-Carry to Crystal Plaza
3, 8th Floor*

Examiner: Colaia, M.

Group: 1731

Atty. Dkt. No. 04859.00006

#17
PATENT
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT 37 C.F.R. § 1.97(d)

Assistant Commissioner for Patents
Washington, D.C. 20231

ATTN: BOX ISSUE FEE

Match and Return

Sir:

Pursuant to his duty of good faith and candor set forth in 37 C.F.R. §§ 1.56, 1.97, 1.98 *et seq.*, Applicant submits herewith the attached form PTO-1449. Applicant respectfully requests that the Examiner consider the documents listed therein in connection with the examination of the subject application. One copy of each document is attached hereto pursuant to 37 C.F.R. § 1.98(a)(2).

The undersigned certifies pursuant to 37 C.F.R. § 1.97(e)(2) that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Statement.

Please charge \$ 180 for the information disclosure statement processing fee (37 C.F.R. § 1.97(d)(2)) to our Deposit Account 19-0733. If this amount is incorrect, the Commissioner is authorized to debit or credit our Deposit Account No. 19-0733 as appropriate.

This Statement is being filed concurrently with the payment of the issue fee and, therefore, is timely under 37 C.F.R. § 1.97(d).

04/23/2002 BNGUYEN1 00000026 190733 09668144

01 FC:126 180.00 CH

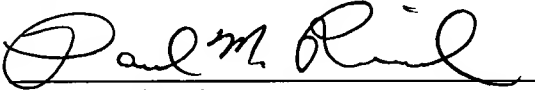
The Examiner is invited to contact the undersigned at the telephone number listed below if he believes doing so would be helpful in resolving any matters in connection with the subject application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Dated: April 12, 2002

By:

A handwritten signature in cursive script, appearing to read "Paul M. Rivard", written over a horizontal line.

Paul M. Rivard

Registration No. 43,446

1001 G Street, N.W.
Eleventh Floor
Washington, D.C. 20001-4597
(202) 508-9100

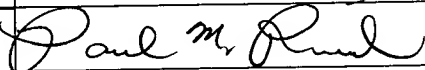
Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/668,144
	Filing Date	September 25, 2000
	First Named Inventor	Jonnie R. WILLIAMS
	Group Art Unit	1731
	Examiner Name	Michael Colaianne
Total Number of Pages in This Submission		Attorney Docket Number 004859.00006

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="text-align: center;"> Form 1449 Cited References </div>
<div style="border: 1px solid black; padding: 5px; width: fit-content; margin-bottom: 5px;">Remarks</div>		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Paul M. Rivad, Reg. No. 43,446	
Signature		
Date	February 15, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1490

Complete if Known

Application Number 09/668,144
Filing Date September 25, 2000
First Named Inventor Jonnie R. Williams
Examiner Name M. Colaanni
Group / Art Unit 1731
Attorney Docket No. 004859.00006

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit
Account
Number 19-0733

Deposit
Account
Name Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	0	0	0
Independent Claims	0	0	0
Multiple Dependent	0	0	0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SR after Examiner action
115	110	215	55	Extension for reply within first month
116	400	216	200	Extension for reply within second month
117	920	217	460	Extension for reply within third month
118	1,440	218	720	Extension for reply within fourth month
128	1,960	228	980	Extension for reply within fifth month
119	320	219	160	Notice of Appeal
120	320	220	160	Filing a brief in support of an appeal
121	280	221	140	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidable
141	1,280	241	640	Petition to revive - unintentional
142	1,280	242	640	Utility issue fee (or reissue)
143	460	243	230	Design issue fee
144	620	244	310	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Processing fee under 37 CFR 1.17 (q)
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
145	145	245	72	Filing a submission after final rejection (37 CFR § 1.129(a))
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	740	279	370	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify) 10 soft copies of patent @ \$3.00 each

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1490

SUBMITTED BY

Name (Print/Type) Paul M. Rivard Registration No. Attorney/Agent 43,446 Telephone (202) 508-9100
Signature Paul M. Rivard Date 4/12/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.